

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
POL01.04DIV

In Re Application Of: **Heller, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/770,430	February 3, 2004		32047	3611	3362

Title: **FAILSAFE SMART BAR ACTUATOR**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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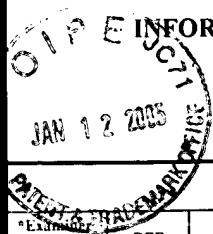
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**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Docket Number (optional)

POL01.04DIV

Application No.

10/770,430

Applicants(s)

Heller, et al.

Filing Date

February 3, 2004

Group Art Unit

3611

U.S. PATENT DOCUMENTS

*Examiner Initial	REF	DOCUMENT NUMBER	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE
	1	4,826,205	05-02-1989	Kouda, et al.	280/703	
	2	5,032,995	07-16-1991	Matsuda, et al.	364/424.03	
	3	5,032,997	07-16-1991	Kawagoe	364/424.05	
	4	5,092,625	03-03-1992	Kawabata	280/707	
	5	5,243,324	09-07-1993	Bober	340/439	
	6	5,441,298	08-15-1995	Miller, et al.	280/707	
	7	6,179,310 B1	01-30-2001	Clare, et al.	280/124.159	
	8	6,295,490 B1	09-25-2001	Streib	701/29	
	9	6,637,757 B2	10-28-2003	Ignatius, et al.	280/5.511	
	10	6,428,019 B1	08-06-2002	Kincad, et al.	280/5.511	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include Copy of this form with next communication to applicant